



YCAT

Yorkshire Collaborative
Academy Trust

SCHOOL INFORMATION POLICY (Data Protection/Freedom of Information)

Statutory Policy: Yes No

School Level Appendix attached: Yes No

Policy to be published on the YCAT website: Yes No

Policy to be published on school website Yes No

SCHOOL INFORMATION POLICY

(Data Protection/Environmental Information Regulations and Freedom of Information)

This Policy (updated 2012) was produced for schools by North Yorkshire County Council and adopted by:

**Yorkshire Collaborative Academy Trust
on 10/10/2017**

Chair of Trustees: Mr Ian Moore	
Signature:	Ian Moore
Frequency of review:	2 years
To be reviewed by:	FRAR
Date of next review:	Oct 2019

REVIEW RECORD

Date of review	Reason for review	Date of next review

Name :		Signature:	
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on behalf of YCAT Full Board

Date of review	Reason for review	Date of next review

Name :		Signature:	
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on behalf of YCAT Full Board

SCHOOL INFORMATION POLICY FOR ALL SCHOOLS WITHIN THE YORKSHIRE COLLABORATIVE ACADEMY TRUST

Introduction

This policy will help all schools within the Yorkshire Collaborative Academy Trust (YCAT) to comply with the Data Protection Act 1998 (DPA), Environmental Information Regulations 2004 (EIR) and Freedom of Information Act 2000 (FOIA), associated guidance and Codes of Practice issued under the legislation. It addresses dealing with requests for information, records management, security and access to information and use of email and internet.

What is does this policy apply to?

This policy applies to any recorded information which is held by schools, or on their behalf, in any form, whether manual or electronic.

Data Protection

Personal Data will be collected, stored, used and disclosed in accordance with the requirements of the Data Protection principles.

All schools within YCAT have notified their purposes for processing with the Information Commissioner.

Making Information Available

The school will make information available in line with the DPA, EIR and FOI and is committed to the spirit of the legislation to promote openness and accountability. Requests will be responded to within the prescribed timescales.

Exemptions will only be applied when absolutely necessary. If an exemption applies individuals will be advised they cannot have the information they have requested and, where appropriate, given the reason why information is being withheld, and/or advised of the complaints procedure and contact details for the Information Commissioner.

The Chair of Trustees and the CFO will jointly consider all requests where a public interest test is applied, or where there is any doubt on whether or not an exemption should be applied. In applying the public interest test they will:

- Document clearly the benefits from both disclosing and withholding the requested information; and
- Where necessary seek guidance on case law in deciding where the balance lies.

Reasons for disclosing/not disclosing the information will be reported to the next governing body meeting.

The school will offer advice and assistance to individuals to help them access information. For example if information is not held by the school but may be held by another public body, the school will advise the individual of this and provide contact details of the relevant public body.

The school has adopted the Information Commissioner's model publication scheme for schools and will publish as much of the information as possible on its website. The publication scheme will be kept up-to-date and will be subject to an annual review by the Trust Board.

Charging for information

Charging for supplying information will be at the Trust's discretion and in line with current regulations. If a charge applies, written notice will be given to the applicant and payment must be received before the requested information is supplied.

- For **FOI** and **EIR** requests, the school will use the North Yorkshire County Council Charging Policy. Once the individual has been notified that a fee is payable if this not received, within 3 months of the notification, the request will be deemed to have lapsed.
[N.B. if the school does not wish to use the NYCC FOI Charging Policy then a Charging Policy should be formulated which takes account of the limits set by the regulations]
- For **DPA** or **Education (Pupil Information)(England) Regulations 2005** requests, charges will be made in accordance with current legislation.

Complaints

Expressions of dissatisfaction will be handled through the Trust's existing general complaints procedure and on completion of the complaints procedure applicants will be notified of their right to complain to the Information Commissioner.

Monitoring & Evaluation

The CFO will be responsible for periodically monitoring requests received and action taken to ensure that the school is complying with it information legislation and report annually to The YCAT Trust Board.

Records Management/Security and Control of Information

The school recognises that the secure and efficient management of its information is necessary to comply with its legal and regulatory obligations and to contribute to the effective overall management of the school.

- Protocols will be in place to ensure the trust knows what information is held and by whom.
- The school will ensure that information is managed in line with the guidance in the NYCC Information Governance advice for schools.
- The school will adopt the North Yorkshire County Council (NYCC) Record Retention and Disposal Schedule (RRDS).
- The school will ensure that information is held securely with access restricted as appropriate, and in line with the guidelines on security and access in the NYCC Information Governance advice for schools.
- The school will ensure that use of email and internet is properly controlled in line with the guidance in the NYCC Information Governance advice for schools.
- The school will periodically review and monitor its information management to ensure standards, procedures, guidelines and security measures are in place and being complied with.

Training

The school will ensure that appropriate guidance and training is given to the relevant staff, governors and other authorised school users on access to information regimes, records management, security and access to information, using email and the internet.

Copyright

When providing information, the school will ensure that there is no infringement of copyright legislation.

Responsibility

The day-to-day responsibility for implementation of the YCAT Information Policy and the provision of advice, guidance, publicity and interpretation of the policy is delegated to the headteachers at each school and the CFO for YCAT Central. The headteacher/ CFO is also the Senior Information Risk Owner.

A designated member of staff will be a single point of reference and will:-

- Oversee all requests for information
- Ensure systems are in place to deal with requests
- Be responsible for maintaining a log of all request received and for ensuring they are responded to within the prescribed timescales
- Ensure a record of refusals and reasons for refusals is kept, allowing the governing body to review the school Information Policy on an annual basis
- Take a view on possibly sensitive areas
- Co-ordinate/update the Publication Scheme
- Consider what training and guidance staff may need

General

Any user who contravenes this guidance will be dealt with appropriately. This may include disciplinary action and/or informing the police where appropriate.

The YCAT FRAR will be responsible for evaluating and reviewing this policy annually.